Attorney Docket No. 01405/LH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Hironori UTSUGI

Serial No. : 09/901,548

Filed : July 9, 2001

For : MICROSCOPE FOCUSING

APPARATUS

Art Unit : Examiner :

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents

Washington, D.C. 20231

SIR:

CERTIFICATE OF MAILING

I hereby certify this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date noted below

DEC 1 1 2001

Attorney: Leonard Holtz

Dated: October 24, 2001

In the event that this paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

Submitted herewith are copies of the three U.S. patents identified on the attached form PTO/SB/08A.

USP 4,729,646 (Clark et al) and USP 4,565,427 (Shilling et al) are discussed in the introductory portion of the present specification.

USP 5,270,855 is assigned to the same Assignee as the present application, and is directed to a microscope having a focus-adjusting mechanism.

It is respectfully requested that the Examiner consider the publications submitted herewith and make them of record. It is further requested that the Examiner return an initialed copy of

the attached form PTO/SB/08A to confirm that the publications listed therein have been made of record.

Respectfully submitted,

Leonard Holtz, Reg. No. 22,974

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LH:sp:bv

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Substitute for Form 1449A/PTO						tion Number	09/901,548	RIMENTO OF COM	MERCE	
					Filing	Date	July 9, 2001	July 9, 2001		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					First Named Inventor		H. UTSUGI	H. UTSUGI		
•					Group Art Unit		2872/	2872/ DEC 1 1 2001 6		
					Examiner Name		T. NGOYEN &	T. NGOYEN R		
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U.S. PATENT DOCUMENTS										
Exam. Inits*	Cite No¹	Docum	ent Numb	per	Kind Code²	Name of Patentee Applicant		Publication Relevant Date MM-DD-YYYY Portion		
		4,565	5,427			SCHILLING, ET A	D 1-21-1986			
		4,729	9,646		\ \ \	CLARK, ET AL 03-08-1988				
		5,270	,855		\	T. HASEGAWA	A2-14-1993			
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FORE IGN PATENT DOCUMENTS										
Exam Inits'	Cite No¹	Offc³	Documer	nt Number⁴	Kind Code <sup>5</sup>	Name of Patentee Applicant	or Publication Date MM-DD-YYYY	Relevant Portion	T <sup>6</sup>	
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date

Considered

DATE MAILED: October 24, 2001

Examiner Signature

Unique citation designation number. <sup>2</sup> See kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Place a check here if English translation is attached.